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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/739,168
Filing Date	December 18, 2000
First Named Inventor	Budge et al.
Group Art Unit	2621
Examiner Name	H. Akhavannik
Attorney Docket Number	1423-4202.1US

ENCLOSURES *(check all that apply)*

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal)
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16
- ☐ Preliminary Amendment
- ☐ Response to Restriction Requirement/Election of Species Requirement dated
- ☒ Amendment in response to office action dated June 16, 2004
- ☐ Amendment under 37 C.F.R. § 1.116 in response to final office action dated
- ☒ Additional claims fee - Check No. 7142 in the amount of $100.00
- ☐ Letter to Chief Draftsman and copy of FIGS. with changes made in red
- ☐ Transmittal of Formal Drawings
- ☐ Formal Drawings (sheets)
- ☐ Information Disclosure Statement, PTO/SB/08A; ☐ copy of cited references
- ☒ Supplemental Information Disclosure Statement; PTO/SB/08A; copy of cited references and Check No. 7133 in the amount of $180.00
- ☐ Associate Power of Attorney
- ☒ Petition for Extension of Time and Check No. 7141 in the amount of $510.00
- ☐ Petition
- ☐ Fee Transmittal Form
- ☐ Certified Copy of Priority Document(s)
- ☐ Assignment Papers *(for an Application)*
- ☐ Terminal Disclaimer
- ☐ Terminal Disclaimer
- ☐ Terminal Disclaimer
- ☐ Other Enclosure(s) *(please identify below)*:

Remarks

The Commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account 20-1469 during pendency of this application.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm *or* Individual name	Jeff M. Michelsen Registration No. 50,978
Signature	Jeff M. Michelsen
Date	December 16, 2004

CERTIFICATE OF MAILING

Express Mail Label Number: EL 994822268 US

Date of Deposit: December 16, 2004

Person Making Deposit: Steve Wong

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Budge et al.

Serial No.: 09/739,168

Filed: December 18, 2000

For: METHOD FOR IMAGING CODING BY RATE-DISTORTION ADAPTIVE ZERO-TREE-BASED RESIDUAL VECTOR QUANTIZATION AND SYSTEM FOR EFFECTING SAME

Confirmation No.: 3993

Examiner: H. Akhavannik

Group Art Unit: 2621

Attorney Docket No.: 1423-4202.1US

NOTICE OF EXPRESS MAILING

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Person making Deposit: Steve Wong

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission

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that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent Documents

U.S. Patent No.	Publication Date	Patentee
US - 6,134,350	10-17-2000	Beck
US - 6,236,757 B1	05-22-2001	Zeng et al.

Other Documents

M. ANOTONINI ET AL., "Image Coding using wavelet transform", IEEE Transactions on Image Processing, vol. 1, no. 2, pp. 205-220

J.M. SHAPRIO, "Embedded image coding using zerotree of wavelet coefficients," IEEE Transactions on Signal Processing, vol. 41, pp. 3445-3462, December 1993

P.C. COSSMAN ET AL., "Tree-Structured vector quantizaation with significance map for wavelet image coding", Proc. 1995 IEEE Data Compression Conf. (DCC), March 1995

A.R. CALDERBANK ET AL., "Wavelet transforms that map integers to integers", Mathematics Subject Classification, August 1996

M.J. GORMISH ET AL., "Lossless and nearly lossless compression for high quality images", Tech. Rep. Ricoh California Research Center, 2882 Sand Hill Road, Suite 115, Menlo Park, CA 94025-7022

QUN GU, "Image Coding by Rate-Distortion Adaptive Zerotree-based Residual Vector Quantization (2000) (published Master of Science (M.S.) thesis, Utah State University, Logan, Utah on file with the Utah State University Library)

I. DEBAUCHIES ET AL., "Factoring wavelet transform into lifting steps", Tech. Rep. Lucent, Bell Laboritories, 1996

D. SAMPSON ET AL., "Wavelet transform image coding using lattice vector quantization", Electronics Letter, vol. 30, pp. 1477-78, September 1994

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits.

The fee pursuant to 37 C.F.R. § 1.17(p) is enclosed.

Respectfully submitted,

Jeff M. Michelsen
Registration No. 50,978
Attorney for Applicant(s)
TRASKBRITT
P.O. Box 2550
Salt Lake City, Utah 84110-2550
Telephone: 801-532-1922

Date: December 16, 2004
JMM/rmh

Enclosures: Form PTO-1449 or PTO/SB/08
Copy of documents cited
Check No. 7133 in the amount of $180.00

Document in ProLaw

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known	
Application Number	09/739,168
Filing Date	December 18, 2000
First Named Inventor	Budge et al.
Group Art Unit	2621
Examiner Name	H. Akhavannik
Attorney Docket Number	1423-4202.1US

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No.[1]	Document Number Number - Kind Code[2] (*if known*)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,134,350	10-17-2000	Beck	
		US- 6,236,757 B1	05-22-2001	Zeng et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3] - Number[4] - Kind Code[5] (*if known*)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

Complete if Known	
Application Number	09/739,168
Filing Date	December 18, 2000
First Named Inventor	Budge et al.
Group Art Unit	2621
Examiner Name	H. Akhavannik
Attorney Docket Number	1423-4202.1US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
		M. ANOTONINI ET AL., "Image Coding using wavelet transform", IEEE Transactions on Image Processing, vol. 1, no. 2, pp. 205-220	
		J.M. SHAPRIO, "Embedded image coding using zerotree of wavelet coefficients," IEEE Transactions on Signal Processing, vol. 41, pp. 3445-3462, December 1993	
		P.C. COSSMAN ET AL., "Tree-Structured vector quantizaation with significance map for wavelet image coding", Proc. 1995 IEEE Data Compression Conf. (DCC), March 1995	
		A.R. CALDERBANK ET AL., "Wavelet transforms that map integers to integers", Mathematics Subject Classification, August 1996	
		M.J. GORMISH ET AL., "Lossless and nearly lossless compression for high quality images", Tech. Rep. Ricoh California Research Center, 2882 Sand Hill Road, Suite 115, Menlo Park, CA 94025-7022	
		QUN GU, "Image Coding by Rate-Distortion Adaptive Zerotree-based Residual Vector Quantization (2000) (published Master of Science (M.S.) thesis, Utah State University, Logan, Utah on file with the Utah State University Library)	
		I. DEBAUCHIES ET AL., "Factoring wavelet transform into lifting steps", Tech. Rep. Lucent, Bell Laboritories, 1996	
		D. SAMPSON ET AL., "Wavelet transform image coding using lattice vector quantization", Electronics Letter, vol. 30, pp. 1477-78, September 1994	

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Unique citation designation number (optional). [2] Applicant is to place a check mark here if English language Translation is attached.

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